## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

William Scott Ballantine

Group Art Unit: TBD

Application No. TBD

Examiner: TBD

Filed: April 1, 2004

For: DISC SLEEVE WITH

RETENTION FEATURE

Atty Docket: 003797.00914

## **INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to the duty of disclosure under 37 CFR §§ 1.56 and 1.97-1.98, the documents listed on the attached Form PTO/SB/08A and PTO/SB/08B are being brought to the attention of the Examiner in charge of the above-identified application.

Pursuant to 37 C.F.R. § 1.98(a)(2)(i), as modified by the O.G. Notice of August 5, 2003, copies of the cited U.S. patents and/or U.S. patent application publications are not enclosed. Copies of the non-patent literature documents are enclosed, in accordance with 37 C.F.R. § 1.98(a)(2).

The Examiner is respectfully requested to initial the space adjacent each document entry on the Forms PTO/SB/08A and PTO/SB/08B, and to return a copy of the initialed forms to confirm that the documents have been considered and have been officially made of record in this application.

Information Disclosure Statement Attorney Docket No. 003797.00914

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

If the Examiner has any questions or wishes to discuss this application, the Examiner is

invited to telephone the undersigned representative at the number set forth below.

Any fees required for consideration of this paper are hereby authorized to be charged to

our Deposit Account No. 19-0733.

Respectfully submitted,

BANNER & WITCOFF, LTD.

Date: April 1, 2004

1001 G Street N.W. 11th Floor Washington, D.C. 20001 (202) 824-3000 Robert S. Katz

Registration No. 36,402

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Substitute for form 1449A/PTO
INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(use as many sheets as necessary)

US-US- of 2

Sheet

Examiner

Signature

Complete if Known					
Application Number	TBD	-			
Filing Date	04/01/2004				
First Named Inventor	William Scott Ballantine	·			
Group Art Unit	TBD				
Examiner Name	TBD				
Attorney Docket Number	003797 00914				

U.S. PATENT DOCUMENTS							
Examiner Cite No.*		Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevan		
	No. <sup>1</sup>	Number - Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Cited Document	Passages or Relevant Figures Appear		
		US- 6,698,587 B2	03-02-2004	Bergh et al.			
		US- 6,681,929 B2	01-27-2004	Bergh et al.			
		US- 6,286,672 B2	09-11-2001	Dos Santos			
		US- 5,662,217	09-02-1997	Durr			
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	FOREIGN PATENT DOCUMENTS						
Examiner	Cite	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
Initials*	No.1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				T <sup>8</sup>	
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<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional) . <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04.
<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08B(10-01)

Approved for use through 10/31/2002. OMB 0651-0031

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Substitute for form 1449A/PTO					Complete if Known		
INFORMATION DISCLOSURE				CI OSUBE	Application Number	TBD	
					Filing Date	04/01/2004	
STATEMENT BY APPLICANT			PPLICANI	First Named Inventor	William Scott Ballantine		
					Group Art Unit	TBD	
		(use as many	sheets as	necessary)	Examiner Name	TBD	
	Sheet	2	of	2	Attorney Docket Number	002707 00044	$\overline{}$

OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS						
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T 2			
	1	Picture of Flat, No Flap Sleeve, unknown manufacturer, 1 photosheet, date unknown but prior to the filing of the present application				

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